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# **GPS trajectory imputation: A hybrid approach combined clustering and GAIN-based algorithm**

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#### **ABSTRACT**

The advancement of computing power and the proliferation of big data have opened unprecedented avenues for the Intelligent Transportation Systems (ITS) community to extract valuable insights from Global Positioning System (GPS) trajectory data. However, the reality of real-world GPS trajectory data often lacks complete information due to various factors (e.g. detector damage, transmission loss, ...), thus posing significant challenges for trajectory analysis and operational efficiencies within transportation systems. To address this issue, time series data imputation techniques have emerged as critical solutions to accurately fill in missing data points. Existing imputation approaches can be classified into statistical methods and deep generative models. Significantly, within the domain of deep generative models, Generative Adversarial Imputation Networks (GAIN) have exhibited promise in the realm of data imputation. Nonetheless, their limited capacity to effectively handle time series data represents a notable limitation. Additionally, GPS trajectories, particularly those of buses, exhibit a distinctive characteristic wherein each vehicle is assigned to one or more predetermined routes, adding complexity to the data imputation process. In response to these challenges, this study proposes a novel hybrid imputation approach, Cluster-GRUI-GAIN, which integrates clustering techniques (e.g. KNN) with the enhanced generative adversarial imputation network, GRUI-GAIN. By combining the strengths of clustering and GAIN, our hybrid approach aims to enhance the accuracy of time series data imputation for GPS trajectories with diverse missing rates and significant gaps. Specifically, the GRUI-GAIN model within our proposed Cluster-GRUI-GAIN framework incorporates GRUI (GRU for Imputation) within the generator. This strategic integration enhances the model's ability to effectively handle missing data within time series, thereby bolstering the accuracy and reliability of imputations. Experimental evaluations on real-world dataset demonstrate that our proposed Cluster-GRUI-GAIN approach outperforms baseline methods in terms of time series imputation accuracy and offers robust and accurate imputations, making it well-suited for practical transportation applications.

**Key words:** GPS trajectory, data imputation, generative adver- sarial network, clustering, hybrid

### <sup>1</sup> **INTRODUCTION**

 With the exponential growth of computing power and the abundance of big data, the Intelligent Trans- portation Systems (ITS) community now has an un- precedented opportunity to extract valuable insights from the vast amount of data available. GPS tra-jectory data which is time series data plays a cru-

<sup>8</sup> cial role in numerous applications and research en-<sup>9</sup> deavors within transportation systems. Whether it

<sup>10</sup> is facilitating route planning for individuals or aid-

<sup>11</sup> ing transportation management and control for re-

 searchers and governments, the availability of com-3 prehensive GPS trajectory data is essential <sup>1</sup>. Unfortunately, actual GPS trajectory data obtained from sen- sors or other sources often suffer from incomplete in- formation due to various factors. Numerous studies have highlighted the issue of missing data in various trajectory and transportation databases. For instance,

Qu et al.<sup>[2](#page-8-1)</sup> identified missing data ratios in Beijing typ-  $_{19}$ ically around 10%, but occasionally reaching as high 20 as 20% to 25% due to various factors. These data gaps <sup>21</sup> pose significant challenges for trajectory analysis and <sup>22</sup> other practical operations. <sup>23</sup>

To address this issue, trajectory data imputation or <sup>24</sup> more generally, time series data imputation emerges 25 as a critical technique aimed at accurately filling in <sup>26</sup> these missing data points. Given the ever-increasing 27 richness of traffic data, trajectory data imputation re- <sup>28</sup> mains a pressing and highly relevant area of investi- <sup>29</sup> gation $3$ . **.** 30

Existing techniques for handling missing data can be 31 broadly classified into two main categories: statisti- <sup>32</sup> cal methods and deep generative models. Statisti- <sup>33</sup> cal approaches frequently rely on stringent assumptions concerning the nature of missing data patterns. 35 For example, mean/median averaging  $^4$  $^4$ , linear regres-  $\,$   $\,$   $_{36}$ sion<sup>[5](#page-8-4)</sup>, MICE<sup>[6](#page-8-5)</sup>, and K-nearest neighbors<sup>[7](#page-8-6)</sup> can only 37

**Cite this article :** Duy K N, Hai T H L, Tho N T, Anh T D, Thoai N. **GPS trajectory imputation: A hybrid approach combined clustering and GAIN-based algorithm**. *Sci. Tech. Dev. J. – Engineering and Technology* 2024; ():1-11.

#### *Science & Technology Development Journal – Engineering and Technology 2024, ():1-11*

#### **History**

- *•* Received: 25-9-2023
- *•* Accepted: 26-3-2024
- *•* Published Online:

#### **DOI :**

Check for updates

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 handle data missing at random. Latent variables mod- $\,$  els with EM algorithm $^8$  $^8$  can impute data missing not at random but are restricted to certain parametric models. The deep generative models offer a flexible framework for missing data imputation. For instance, 43 several studies  $9-11$  $9-11$  develop variants of recurrent neu- ral networks to impute time series. Luo et al.  $12$  lever-45 age generative adversarial training  $(GANs)^{13}$  $(GANs)^{13}$  $(GANs)^{13}$  to learn

<sup>46</sup> complex missing patterns.

47 Notably, Yoon et al.  $^{14}$  $^{14}$  $^{14}$  introduced the Generative Ad- versarial Imputation Network (GAIN), a pioneer- ing approach for addressing missing data imputation. This method has significantly propelled the field of data imputation by employing a generator that pro- duces a completed vector based on the available ob- servations, while a discriminator endeavors to dis- cern between the entries in the completed dataset that originated from observations and those that were im- puted. Nonetheless, a noteworthy limitation of GAIN lies in its relatively diminished capacity to effectively impute missing data within time series datasets.

 Moreover, GPS trajectories, particularly GPS bus tra- jectories, possess the distinctive characteristic of each vehicle being assigned to one or more predetermined routes. Alabadla et al.  $^{15}$  $^{15}$  $^{15}$  highlight the effectiveness of hybrid approaches that combine multiple machine learning methods, resulting in improved imputation performance. Building upon this insight, we pro- pose a hybrid approach in this study that integrates clustering techniques with the enhanced generative adversarial imputation network (GRUI-GAIN). Our aim is to enhance the accuracy of GAIN when deal- ing with diverse missing rates and significant miss- ing gaps in the GPS trajectory data. By leveraging the strengths of both clustering and GAIN-based, we an- ticipate achieving more accurate and robust imputa- tions in scenarios where missing data is prevalent. In particular, we make the following technical contribu-

- <sup>76</sup> tions:
- <sup>77</sup> We propose a hybrid approach called Cluster-
- <sup>78</sup> GRUI-GAIN to improve the GPS trajectory im-
- <sup>79</sup> putation accuracy of clustering and GAIN un-
- <sup>80</sup> der various missing values and large missing
- 81 gaps by combining these two methods.

<sup>82</sup> • We utilize the GAIN-based model, which in-<sup>83</sup> corporates GRUI (GRU for Imputation) within 84 the generator, enhancing its ability to handle <sup>85</sup> missing data in time series, thereby enhancing the imputation quality of  $GAIN<sup>14</sup>$  $GAIN<sup>14</sup>$  $GAIN<sup>14</sup>$  in time series. We refer to this improved model as GRUI- $_{88}$  GAIN.

• We evaluate our model on real-world datasets. Experimental results show that our model out- 90 performs the baselines in terms of the accuracy 91 of time series imputation. 92

#### **RELATED WORKS**

### **A. Generative Adversarial Networks**

Neural networks have significant advancements and 95 have been widely employed across various practi- 96 cal applications. Numerous neural network models 97 have been proposed to tackle different problem do- 98 mains  $16,17$  $16,17$  $16,17$ . Notably, generative adversarial network 99  $(GANs)$ <sup>[13](#page-10-3)</sup>, a framework for constructing generative 100 models approximating the target distribution, has <sup>101</sup> emerged as a powerful approach and achieved state- <sup>102</sup> of-the-art performance in diverse learning tasks  $18-20$  $18-20$ . 103 GANs are characterized by their discriminator, which 104 plays a pivotal role in discerning the discrepancy between the generated distribution and the target dis- <sup>106</sup> tribution. The GANs algorithm follows an iterative 107 training process, where the discriminator progres- <sup>108</sup> sively provides a more rigorous critique of the gen- 109 erator's outputs. This interplay between the genera- 110 tor and discriminator leads to the refinement and improvement of the overall model performance. GANs 112 have proven to be highly effective in capturing com- 113 plex data distributions, enabling the generation of re- <sup>114</sup> alistic samples, and enhancing the quality of gener- <sup>115</sup> ated outputs in various domains. 116

#### *B. Deep Generative Imputation Methods* <sup>117</sup>

Several imputation methods utilizing GAN frame- <sup>118</sup> works have been introduced in the literature. Luo et 119 al.  $^{12}$  $^{12}$  $^{12}$  propose GRUI (GRU for Imputation), which ef- $_{120}$ fectively models the temporal information of incom- <sup>121</sup> plete time series data. In their GAN model, both the 122 generator and discriminator are based on the GRUI <sup>123</sup> architecture. Building upon this work, Luo et al. $^{21}$  $^{21}$  $^{21}$  124 present E2GAN, an end-to-end imputation method <sup>125</sup> that offers improvements over the previous two-stage 126 approach in<sup>[12](#page-10-2)</sup>. E2GAN employs an auto-encoder  $127$ based on GRUI as its generator, aiming to simplify <sup>128</sup> model training difficulties and enhance imputation <sup>129</sup> performance.

Moreover, in the realm of missing value imputation 131 for multivariate time series data, Miao, Xiaoye, et al  $^{22}$  $^{22}$  $^{22}$ introduce SSGAN, a novel semi-supervised genera- <sup>133</sup> tive adversarial network model, with a generator, dis- <sup>134</sup> criminator, and classifier. By incorporating a tempo- <sup>135</sup> ral reminder matrix and a semi-supervised classifier, <sup>136</sup> SSGAN achieves remarkable improvements in impu- <sup>137</sup> tation and prediction performance when compared to 138

<sup>139</sup> existing methods, as demonstrated through extensive

<sup>140</sup> experiments on benchmark time series datasets.

 $_{141}$  In addition, Liu et al.  $^{23}$  $^{23}$  $^{23}$  propose a non-autoregressive

<sup>142</sup> model named NAOMI for spatiotemporal sequence <sup>143</sup> imputation. NAOMI comprises a bidirectional en-

coder and a multiresolution decoder, which work to-

<sup>145</sup> gether to effectively handle missing data in spatiotem-

<sup>146</sup> poral sequences. Adversarial training techniques are

<sup>147</sup> further incorporated to enhance the imputation per-

<sup>148</sup> formance of NAOMI.

These advancements in GAN-based imputation methods, such as GRUI, E2GAN, NAOMI, and SSGAN demonstrate the ongoing efforts to address the challenges of incomplete time series and spa- tiotemporal data imputation, leading to improved imputation performance in diverse domains.

#### <sup>155</sup> *C. Clustering-based Imputation*

 Clustering is a data partitioning technique that in- volves grouping a dataset into distinct classes or clus- ters based on specific criteria, such as a distance met- ric. The primary objective of clustering is to max- imize the similarity among data objects within the same cluster while ensuring significant differences be- tween objects belonging to different clusters. Clustering finds applications in diverse fields, includ- ing data compression, information retrieval, pattern recognition, and bioinformatics. It also holds the po- tential for imputing missing data sets. In the context of imputation, clustering can be approached in two ways. One approach involves dividing the original dataset into complete and missing subsets. The com- plete dataset is then clustered to obtain distinct clusters. Subsequently, missing data objects are assigned to the most similar clusters based on a similarity mea- surement, and the information within the clusters is utilized to fill in the missing values. The other ap- proach involves initializing the original dataset and directly clustering it, potentially redefining the sim-177 ilarity measure.

 In recent developments, clustering – based ap- proaches have begun incorporating temporal, spatial, global, and local perspectives. For example, Xiuwen et al.  $^{24}$  $^{24}$  $^{24}$  employed a multi-view learning method based on temporal and spatial correlations to impute time series data. The primary objective of clustering tech- niques is to classify datasets into clusters by minimiz-185 ing intra-cluster dissimilarity, thereby enabling effec-tive data organization and analysis.

#### <sup>187</sup> **PROBLEM FORMULATION**

<sup>188</sup> In the context of GPS trajectory data, as depicted in <sup>189</sup> Table [1,](#page-3-0) a fundamental format consists of timestamp, latitude, and longitude coordinates. Timestamps provide temporal context, indicating when the location <sup>191</sup> was recorded, while latitude and longitude specify the 192 vehicle's geographic position.

Let X represent the GPS trajectory data which can also 194 be interpreted as time series data in a d-dimensional 195 space and observed over n timestamps  $T = \{t0, t_1, t_9, t_{10}\}$ t*n−*1}, is represented as: X = {x0, x1, …, x*n−*1} *∈* R *n×d* , <sup>197</sup> where  $x_i$  is the i-th observation vector within X, and  $\frac{1}{98}$  $x_{ij}$  represents the j-th feature within the observation  $199$ vector x*i* **.** 200

In this study, the dimensionality, d, is set to 2, repre- <sup>201</sup> senting the two geographic coordinates (latitude and 202 longitude). We refer to X as the data vector and also 203 define the mask matrix, denoted as M, which serves <sup>204</sup> the purpose of indicating which components of  $X$  are  $205$ missing, and it is defined as follow:

$$
m_{ij} = \begin{cases} 0, if x_{ij} \text{ is not observed} \\ 1, otherwise \end{cases}
$$

We define a matrix  $\delta \in \mathbb{R}^{n \times d}$  that records the time gap 207 from the last observation to the current timestamp,

$$
\delta_{ij} = \begin{cases} t_i - t_{i-1}, \, if \, m_{(i-1)j} = 1, \, i > 0 \\ \delta_{ij} + t_i - t_{i-1}, \, if \, m_{(i-1)j} = 0, \, i > 0 \\ 0, \, if \, i = 0 \end{cases}
$$

## **METHOD: IMPUTATION BASED ON** <sup>209</sup> **GAIN** 210

## *A. Trajectory Part Clustering* <sup>211</sup>

The core idea of the hybrid imputation approach is to 212 use the clustering technique to generate a small rep- <sup>213</sup> resentative training dataset, which is applied to impu- <sup>214</sup> tation in the GRUI-GAIN model. Figure [1](#page-3-1) shows the <sup>215</sup> whole framework of the proposed hybrid approach. 216 Firstly, we divide the imputation into coarse and <sup>217</sup> fine imputation. The original dataset is first imputed <sup>218</sup> with the Last Observation Carried Forward (LOCF) $^{25}$  $^{25}$  $^{25}$  219 method. This step prevents the clustering algorithm <sup>220</sup> from dealing with the missing dataset directly. Sub- <sup>221</sup> sequently, the dataset  $X'$  is clustered using the K- $_{222}$ Means clustering algorithm to generate different clus- 223 tering results  $\{X'_1, X'_2, ..., X'_n\}$ . Finally, each cluster is 224 finely imputed by using GRUI-GAIN. The structure 225 of GRUI-GAIN model is shown at Figure [3](#page-5-0). The new 226 complete dataset Y is obtained by merging the clus- <sup>227</sup> ters. 228

<span id="page-3-0"></span>

<span id="page-3-1"></span>



#### <sup>229</sup> *B. The Review of GAIN*

230 In the GAIN framework<sup>[14](#page-10-4)</sup>, the central components include the generator G and the discriminator D. An additional element, known as the hint H, plays a cru-cial role.

 The generator, G, operates by observing a real data vector, which may contain missing values. It focuses on imputing these missing values while considering the information available in the observed data. Ul- timately, it produces a completed vector as its out- put. The discriminator, D, takes this completed vec- tor as input and is tasked with distinguishing between the components of the vector that were originally ob- served and those that have been imputed. The dis- criminator's role is to assess the authenticity of the imputed data. Importantly, the hint, H, plays a vital role in this process. It provides additional informa- tion to the discriminator regarding the missingness of the original sample. Essentially, the hint ensures that the generator, G, imputes the missing data in a man- ner consistent with the true underlying data distribu-<sup>250</sup> tion.

<sup>251</sup> In particular, the output of the generator G and dis-<sup>252</sup> criminator D in the GAIN framework can be repre-<sup>253</sup> sented as follows:

$$
x_G = G(X, M, (1 - M) \odot Z)
$$
  
\n
$$
m_D = D(z_R, H)
$$
  
\n
$$
x_R = M \odot x + (1 - M) \odot x_G
$$

<sup>254</sup> where Z is a d-dimensional noise and x*R* is the recon-<sup>255</sup> structed sample.

The objectives of GAIN are structured as follows: 256

$$
\begin{aligned}\n\min_D & \frac{1}{N} \sum_{k=1}^N L_D \left( M, m_D \right) \\
\min_G & \frac{1}{N} \sum_{k=1}^N L_D \left( M, m_D \right) + \alpha L_R \left( X, x_R \right)\n\end{aligned}
$$

where  $\alpha$  is a weight parameter,  $L_D$ ,  $L_G$  are a cross en- 257 tropy loss and L<sub>R</sub> is a reconstruction loss.

#### *C. GRUI Cell for Generator* <sup>259</sup>

We have adopted the GRUI (GRU for Imputation), 260 proposed in  $12$ , to process the incomplete time series  $261$ in the Generator G of GAIN. The GRUI is inspired by <sup>262</sup> the GRUD<sup>[9](#page-10-0)</sup>. Nevertheless, the GRUI is more simple 263 than the GRUD. As Figure [2](#page-4-0) illustrates, it follows the <sup>264</sup> structure of GRUD with the removal of the input decay. 266

The key concept behind GRUI is the incorporation 267 of a time decay vector  $β$ , which serves to reduce the 268 memory retention of the GRU cell. The update func- 269 tions of GRUI are outlined below. 270

$$
\beta_{t_i} = 1/e^{(0,W_\beta \delta_{t_i} + b_\beta)}, \quad h'_{t_{i-1}} = \beta_{t_i} \odot h_{t_{i-1}}
$$
\n
$$
\mu_{t_i} = \sigma \left( W_\mu \left[ h'_{t_{i-1}}, x_{t_i} \right] + b_\mu \right)
$$
\n
$$
r_{t_i} = \sigma \left( W_r \left[ h'_{t_{i-1}}, x_{t_i} \right] + b_r \right)
$$
\n
$$
\widetilde{h}_{t_i} = \tanh \left( W_{\widetilde{h}} \left[ r_{t_i} \odot h'_{t_{i-1}}, x_{t_i} \right] + b_{\widetilde{h}} \right)
$$
\n
$$
h_{t_i} = (1 - \mu_{t_i}) \odot h'_{t_{i-1}} + \mu_{t_i} \odot \widetilde{h}_{t_i}
$$

<span id="page-4-0"></span>

 $271$  where  $\delta$  is the time lag matrix introduced <sup>272</sup> in the "Problem Formulation" part, and *w*<sub>β</sub>, *W<sub>r</sub>*, *W<sub>μ</sub>*, *b<sub>β</sub>*, *b<sub>μ</sub>*, *b<sub>r</sub>*, *b<sub>h</sub>*</sub> are training pa-274 rameters. The formulation of  $β$  guarantees that with 275 the increase of time lags  $\sigma$ , the value of β decreases. 276 The smaller the  $\sigma$ , the bigger the  $\beta$ . This formulation 277 also makes sure that  $β ∈ (0,1]$ . While the primary <sup>278</sup> focus of this paper does not revolve around the GRUI, <sup>279</sup> it is worth mentioning that our research successfully <sup>280</sup> leverages the GRUI within Generator G to effectively <sup>281</sup> process incomplete time series.

 The very first input of G is the random noise vector z (random values from a continuous uniform distri- bution, a common configuration is to use the interval 285 ( $[-0.01, +0.01]$ ) and every row of the  $\sigma$  of the fake sam- ple is a constant value. For any incomplete time series x, we try to find the best vector z so that the gener- ated sample x\_G is most similar to z. Same as GRUI, we add a squared error loss to the loss function of the generator.

#### <sup>291</sup> *D. Discriminator Network Architecture*

 In contrast to the architecture of GAIN, in our method there is no Hint Generator and, consequently, no Hint Matrix is generated. So, the output of the 295 Discriminator, D, is  $m_D = D(x_D)$ . Moreover, our Discriminator network adopts a slimmer architecture, consisting of only two layers, in contrast to GAIN's three-layered Discriminator.

 Notably, the Discriminator D in the GRUI-GAIN model adopts the hyperbolic tangent activation func- tion (tanh) in its output layers. This choice is moti- vated by two key reasons: firstly, the optimizer used in neural networks tends to converge faster when inputs are linearly transformed to have zero means, unit vari- ances, and are decorrelated, as discussed in the study  $_{306}$  by LeCun et al.  $^{26}$  $^{26}$  $^{26}$ ; secondly, the tanh activation func- tion's derivatives are larger than those of the sigmoid, leading to faster convergence for the optimizer when tanh is employed. Furthermore, the GRUI-GAIN architecture involves

311 dual Discriminators, one for real data and the other

for fake data. This setup allows for a more compre- <sup>312</sup> hensive evaluation and comparison, ensuring the ef- 313 fectiveness of our imputation strategy.

## **EXPERIMENTAL RESULTS**

#### *A. Dataset* 316

For our experimental dataset, we utilize the public bus 317 GPS dataset in India<sup>[27](#page-10-16)</sup>. As shown in Figure [4](#page-6-0), this  $318$ dataset was obtained from 6 volunteers who were in- <sup>319</sup> structed to travel within the sub-urban city of Durga- <sup>320</sup> pur, specifically along the route known as "54 Feet.". 321 During their trips on intra-city buses, the volunteers 322 recorded sensor logs using an Android application in- <sup>323</sup> stalled on commercially available smartphones. In <sup>324</sup> this dataset, each round trip covered a total of 24km, <sup>325</sup> and the total distance covered during this entire pe- <sup>326</sup> riod is 720km. Following data processing, we selected 327 102 bus trajectories from the following date ranges: <sup>328</sup> June 26 to July 06, 2019; September 03 to September 329 05, 2019; and September 12 to September 23, 2019. <sup>330</sup> Table [2](#page-5-1) presents a sample of GPS trajectory data from 331 a bus journey on July 3, 2019, where GPS coordinates 332 were recorded at 15-second intervals. Notably, the 333 GPS coordinates were recorded at regular 15-second <sup>334</sup> intervals. 335

Besides the spatial diversities like populous zones, and 336 marketplaces, ... they also captured data across differ- <sup>337</sup> ent timezones starting from 6 AM to 9 PM, each day. <sup>338</sup> For this, they planned the data collection in different 339 time intervals like – 6 AM to 9 AM – Early Morning, 340 9 AM to 1 PM – Morning, 1 PM to 5 PM – Afternoon, <sup>341</sup> and 5 PM to 9 PM – Evening. Figure [5](#page-4-1) illustrates the <sup>342</sup> general data distribution across various time zones.

<span id="page-4-1"></span>

343

<span id="page-5-0"></span>

**Figure 3**: The structure of GRUI-GAIN.

<span id="page-5-1"></span>



## <sup>344</sup> *B. ComparedMethods and PerformanceIndi-*<sup>345</sup> *cator*

<sup>346</sup> In this study, we compare Cluster-GRUI-GAIN with <sup>347</sup> a range of baseline methods, including:

- <sup>348</sup> **Mean**: Missing values are replaced with the  $_{349}$  $_{349}$  $_{349}$  mean value of the available data<sup>4</sup>.
- <sup>350</sup> **Last observed value (LOCF)**: Missing values <sup>351</sup> are replaced with the most recent observed  $_{352}$  value  $^{25}$  $^{25}$  $^{25}$ .
- <sup>353</sup> **K nearest neighbor (KNN)**: Missing values <sup>354</sup> are imputed by using the values of the k nearest  $_{355}$  . neighboring samples  $^7$  $^7$ .
- <sup>356</sup> **Multivariate Imputation by Chained Equa-**<sup>357</sup> **tions (MICE)**: Missing values are imputed using <sup>358</sup> an iterative regression model that estimates the <sup>359</sup> missing values based on the observed values of 3[6](#page-8-5)0 other variables <sup>6</sup>.
- <sup>361</sup> **GAIN**: GAN-based imputation method that  $_{362}$  utilizes a hint vector to impute missing values  $^{14}$  $^{14}$  $^{14}$ .
- <sup>363</sup> **E2GAN**: Another GAN-based approach that <sup>364</sup> employs an auto-encoder structure based on
- $GRUI$  as the generator for imputation  $21$ .

These baseline models serve as comparative ap- 366 proaches for evaluating the performance of the pro- <sup>367</sup> posed hybrid imputation approach. By contrast- <sup>368</sup> ing our hybrid approach with these established ap- <sup>369</sup> proaches, we can assess its effectiveness and advan- <sup>370</sup> tages in handling missing values in the dataset. 371 Regardless of the specific imputation technique em- <sup>372</sup> ployed, the primary objective is to ensure that the im- <sup>373</sup> puted values closely approximate the true values. To 374 evaluate the performance of our imputation approach 375 in our experimental setup, we adopt the Root Mean <sup>376</sup> Square Error (RMSE) as our metric. A smaller RMSE 377 indicates superior results, highlighting the accuracy <sup>378</sup> and effectiveness of the imputation process. By mini- <sup>379</sup> mizing the RMSE, we aim to achieve the highest level <sup>380</sup> of fidelity between the imputed values and the ob- <sup>381</sup> served value. 382

$$
RMSE = \sqrt{\frac{\sum_{n=1}^{N} (X_{obs} - Y_{imp})^2}{N}}
$$

where  $x_{obs}$  is the observed value,  $Y_{imp}$  is the imputed 383 value. And the state of the

<span id="page-6-0"></span>

**Figure 4**: The GPS bus trajectory dataset utilized in this study was collected from the suburban city of Durgapur, located in India.

## <sup>385</sup> *C. Details of Implementation*

386 In our study using the dataset from  $27$ , we systemati-387 cally evaluate missing data imputation by randomly <sup>388</sup> dropping between 10% and 80% of trajectory data. <sup>389</sup> We then impute these missing values and assess ac-<sup>390</sup> curacy using RMSE.

<sup>391</sup> The GRUI cells employ 16 hidden units, a fixed 0.3 <sup>392</sup> dropout rate, and incorporate batch normalization. <sup>393</sup> We standardize input data to have zero mean and unit

 variance. We allocate 15% of the dataset each for val- idation and testing. Key parameters for this dataset include an epoch of 10, a batch size of 16, a learning 397 rate of 0.002, and  $\lambda$  set to 2 for regularization.

## *D. Performance Comparison for GPS Trajec-* <sup>398</sup> **tory Data** 399

In Table [3,](#page-8-8) we present the RMSE results of the pro- <sup>400</sup> posed hybrid approach and the baseline models. The 401 missing rate, indicating the percentage of dropped <sup>402</sup> values, is listed in the first column, while the subse- <sup>403</sup> quent columns display the corresponding RMSE val- <sup>404</sup> ues. Notably, the GAN-based methods consistently <sup>405</sup> exhibit the highest imputation accuracies across all <sup>406</sup> scenarios. The proposed hybrid approach, Cluster- 407 GRUI-GAIN, emerges as one of the top-performing <sup>408</sup> methods, outperforming other approaches in most <sup>409</sup> cases. Additionally, the proposed hybrid approach <sup>410</sup> demonstrates a significant advantage in handling <sup>411</sup> large missing gaps, which will be further explored and 412

<sup>413</sup> discussed in the latter part of this paper.

#### <sup>414</sup> *E. Imputation Accuracy Under Clustering*

 Figure [6](#page-8-9) illustrates the trends of RMSE for Cluster- GRUI-GAIN and the comparison algorithms (GRUI- GAIN without clustering, GAIN, and KNN) when imputing GPS trajectory data with varying missing rates. While both GAIN and GRUI-GAIN are affected by data sparsity, resulting in fluctuating imputation performance as the missing rate increases, Cluster- GRUI-GAIN effectively addresses the data sparsity challenge under high missing rates. This leads to im- proved robustness and enhanced accuracy for datasets with higher missing rates. As a result, the hybrid approach is well-suited for han-

<sup>427</sup> dling datasets with higher missing rates or greater <sup>428</sup> sparsity in practical applications.

 Additionally, we investigate the impact of the number of clusters on the performance of our proposed ap- proach. Figure [7](#page-9-0) illustrates that, across various missing rates, Cluster-GRUI-GAIN consistently achieves better results when the number of clusters is set to 3. This finding holds true for most cases in the dataset, indicating the robustness and effectiveness of our ap- proach in terms of imputation performance. Regard- less of the missing rate, selecting K=3 yields favorable outcomes with our hybrid approach.

#### <sup>439</sup> **DISCUSSION**

#### <sup>440</sup> **Different Gap Size Analysis**

 In order to assess the imputation accuracy of the hybrid imputation approach, we examine its perfor- mance under different gap sizes. Specifically, we ran- domly remove 15-minute, 30-minute, and 45-minute of data from random trajectories, creating gappy time series for analysis. As depicted in Figure [8](#page-9-1), we observe a deterioration in imputation accuracy as the gap size increases. This decline can be attributed to the dimin- ishing temporal correlation as the gap size expands. However, the hybrid imputation approach, which leverages the clustering method to generate a repre- sentative training dataset, exhibits superior modeling capabilities compared to E2GAN. Consequently, the hybrid imputation approach is more suitable for han-dling datasets with a higher missing gap in practical

<sup>456</sup> applications.

## <sup>457</sup> **CONCLUSIONS AND FUTURE WORK**

 In conclusion, this research introduces Cluster- GRUI-GAIN, a novel hybrid imputation approach de- signed to enhance the accuracy of imputing time se- ries data, particularly GPS trajectory data. By com- bining clustering techniques with the improved gen-erative adversarial imputation network, GRUI-GAIN, our approach addresses the challenge of missing data <sup>464</sup> in transportation systems. Our extensive experiments 465 on real-world datasets have demonstrated the supe- <sup>466</sup> riority of Cluster-GRUI-GAIN over baseline meth- <sup>467</sup> ods. It consistently achieves higher imputation accu- <sup>468</sup> racy, making it especially well-suited for datasets with <sup>469</sup> higher missing rates and significant gaps. Further-  $470$ more, our approach exhibits resilience when faced <sup>471</sup> with data sparsity and outperforms other methods in 472 handling large missing gaps. This research signifies 473 a significant step forward in the field of data impu- <sup>474</sup> tation for transportation systems, with the potential 475 to impact various practical applications in the real <sup>476</sup> world. Future work will explore broader applications 477 and fine-tune clustering parameters to further opti- <sup>478</sup> mize the approach.  $479$ 

This study highlights the potential of combining clus- <sup>480</sup> tering and deep generative models to tackle complex <sup>481</sup> data imputation tasks. In future research endeav- <sup>482</sup> ors, we aspire to extend the utility of our hybrid im- <sup>483</sup> putation approach to diverse domains beyond GPS <sup>484</sup> trajectories. Our objectives include exploring var- <sup>485</sup> ied clustering methodologies and conducting perfor- <sup>486</sup> mance evaluations on an even wider array of real- <sup>487</sup> world datasets. Additionally, we plan to perform 488 comprehensive experimental comparisons, including <sup>489</sup> benchmarking against state-of-the-art methods such <sup>490</sup> as SSGAN[22](#page-10-11), in the context of multivariate time series <sup>491</sup> data imputation within the GPS trajectory domain. 492

## **ACKNOWNLEDGEMENT** 493

This research was conducted within the "Developing <sup>494</sup> a data streaming platform used in urban and rural areas" project sponsored by TIST Lab. <sup>496</sup> We gratefully acknowledge the valuable support and 497 resources provided by HPC Lab at HCMUT, VNU- <sup>498</sup>  $HCM$ .  $499$ 

### **CONFLICT OF INTEREST**

The authors declare that they have no competing in- <sup>501</sup> terests. 502

## **AUTHOR CONTRIBUTION** <sup>503</sup>

Nam Thoai, Nguyen Tran Tho, Trung Dang Anh and 504 Thanh Hoang Le Hai provided guidance and strategic sos direction and shaping research objectives. 506 Khang Nguyen Duy made sub-stantial contributions 507 by actively engaging in data collection, model devel-

opment, experimental work, and constructive discus- <sup>509</sup>  $sions.$   $s10$ 

**8**

<span id="page-8-9"></span>

<span id="page-8-8"></span>**Table 3: The RMSE (the smaller, the better) results of Cluster-GRUI-GAIN and other baseline imputation methods on the GPS bus trajectory dataset.**



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# **Bổ khuyết lộ trình di chuyển GPS: Phương pháp tiếp cận kết hợp thuật toán phân cụm và giải thuật dựa trên GAIN**

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- *•* Ngày nhận: 25-9-2023
- *•* Ngày chấp nhận: 26-3-2024
- *•* Ngày đăng:

**DOI :**



### **TÓM TẮT**

Với Sự tiến bộ về sức mạnh tính toán và sự phát triển của dữ liệu lớn đã mở ra những cơ hội chưa từng có cho cộng đồng Hệ thống Giao thông Thông minh (ITS) để trích xuất những thông tin quý giá từ dữ liệu quỹ đạo dữ liệu quỹ đạo Hệ thống Định vị Toàn cầu (GPS). Tuy nhiên, thực tế của dữ liệu quỹ đạo GPS trong thế giới thực thường thiếu thông tin đầy đủ do nhiều yếu tố khác nhau (ví dụ: hỏng cảm biến, mất truyền, ...), từ đó đặt ra những thách thức đáng kể cho việc phân tích quỹ đạo và hiệu quả hoạt động trong các hệ thống giao thông. Để giải quyết vấn đề này, các kỹ thuật bổ khuyết dữ liệu chuỗi thời gian đã xuất hiện như những giải pháp quan trọng để điền vào các điểm dữ liệu bị thiếu một cách chính xác. Các phương pháp bổ khuyết hiện có có thể được phân loại thành các phương pháp thống kê và mô hình tạo sinh sâu. Đáng chú ý, trong lĩnh vực của các mô hình tạo sinh sâu, Mạng Bổ Khuyết Đối Nghịch Tạo Sinh Dữ Liệu (GAIN) đã thể hiện tiềm năng trong lĩnh vực bổ khuyết dữ liệu. Tuy nhiên, khả năng hạn chế của chúng trong việc xử lý hiệu quả dữ liệu chuỗi thời gian là một hạn chế đáng chú ý. Ngoài ra, các quỹ đạo GPS, đặc biệt là của các xe buýt, có đặc điểm độc đáo khi mỗi phương tiện được gán vào một hoặc nhiều tuyến đường đã được xác định trước, tạo ra sự phức tạp trong quá trình bổ khuyết dữ liệu.

Để đáp ứng những thách thức này, nghiên cứu này đề xuất một phương pháp bổ khuyết kết hợp mới, Cluster-GRUI-GAIN, kết hợp các kỹ thuật phân cụm (ví dụ: KNN) với mạng bổ khuyết đối nghịch tạo sinh được cải thiện, GRUI-GAIN. Bằng cách kết hợp những ưu điểm của phân cụm và GAIN, phương pháp kết hợp của chúng tôi nhằm mục tiêu nâng cao độ chính xác của việc bổ khuyết dữ liệu chuỗi thời gian cho các quỹ đạo GPS với các tỷ lệ thiếu khác nhau và các khoảng trống đáng kể. Cụ thể, mô hình GRUI-GAIN trong Cluster-GRUI-GAIN mà chúng tôi đề xuất tích hợp GRUI (GRU cho Imputation) vào bộ tạo sinh. Sự tích hợp chiến lược này cải thiện khả năng của mô hình trong việc xử lý dữ liệu thiếu trong chuỗi thời gian, từ đó tăng cường độ chính xác và đáng tin cậy của các giá trị bổ khuyết. Đánh giá thực nghiệm trên bộ dữ liệu thế giới thực cho thấy rằng phương pháp Cluster-GRUI-GAIN mà chúng tôi đề xuất vượt qua các phương pháp cơ sở về độ chính xác của việc bổ khuyết dữ liệu chuỗi thời gian và cung cấp các bổ khuyết mạnh mẽ và chính xác, làm cho nó phù hợp cho các ứng dụng.

**Từ khoá:** lộ trình GPS, bổ khuyết dữ liệu, mạng đối nghịch tạo sinh, gom cụm, kết hợp

**Trích dẫn bài báo này:** Khang N D, Thanh H L H, Nguyên T T, Trung D A, Nam T. **Bổ khuyết lộ trình di chuyển GPS: Phương pháp tiếp cận kết hợp thuật toán phân cụm và giải thuật dựa trên GAIN**. *Sci. Tech. Dev. J. - Eng. Tech.* 2024; ():1-1.