Novel Chemo-Mechanical Production of Nanocellulose from Coconut Pith

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ABSTRACT

Nanocellulose has been receiving tremendous attention due to its unique properties such as high strength, flexibility low density, biocompatibility, and natural hydrophilicity with numerous hydroxyl groups effortlessly modified for different purposes. Lignocellulosic biomass, especially coconut residues like coconut shells, coconut fibers, coconut husks, etc., has been effectively utilized for cellulose production in various sizes and morphologies such as microcrystalline cellulose, nanocellulose crystals, nanocellulose fibrils. In this study, novel chemo-mechanical production of nanocellulose from coconut pith (CP) is developed by implementing two-stage alkaline pretreatment, ball milling, acid hydrolysis, centrifugation, and dialysis. Nanocellulose obtained from CP is evaluated for its particle size distribution, zeta potential, chemical composition, crystalline and chemical structure. All parameters of ball-milling time, solid-to-liquid ratio, and hydrolysis duration greatly affect the formation of cellulose nanoparticles from CP as well as the stability of the particle system. Based on the experimental results, the cumulative intensity of particle size below 300 nm and in the range of 300 - 600 nm is respectively 40.93% and 59.08%, indicating the evident effectiveness of the developed chemo-mechanical approach in nanocellulose synthesis from cellulose-rich CP with an initial high holocellulose content of 49.07%. Remarkably, the crystallinity index of our nanocellulose from CP is as high as 72.08% compared to that from coconut husks. The present work demonstrates the potency of combining ball milling and acid hydrolysis in stable nanocellulose synthesis from CP and lays the foundation for further investigation to apply the formulated technique in industrial production.

Key words: Coconut Pith, Nanocellulose, Chemo-mechanical Process, Ball Milling, Acid Hydrolysis

INTRODUCTION

² Cellulose, which is commonly found in plants and 3 microorganisms, is the most abundant polymer on ⁴ Earth. The chemical structure of cellulose consists of ⁵ repeating β -D-glucose monomers linked by β -(1,4) District 10, Ho Chi Minh City, Vietnam 6 glycosidic bonds. Natural cellulose chains are typ-7 ically bundled together to produce a fibrous mor-8 phology with highly ordered crystal and amorphous 9 regions that are consequently isolated as cellulose-University of Technology (HCMUT), 268 10 based nanoparticles for useful applications in futur-11 istic materials 1. Recent efforts have focused on ex-12 tracting cellulose from lignocellulosic biomass and converting it to nanoscale materials having different 14 morphologies of crystals, fibers, rod-like shapes, and 15 spheres. Nanocellulose has emerged as a prominent 16 and outstanding material due to its outstanding properties such as extremely high crystallinity, low density, excellent mechanical strength, high surface area-19 to-volume ratio, biocompatibility, hydrophilicity, and 20 ease of chemical modification depending on the application orientation ¹. As a result, nanocellulose has been extensively studied in varied advanced materi-23 als such as biomedical devices, food and fruit packaging films, textiles, Pickering emulsifiers, energy storage, paper transistors, solar cells, and even wastewater treatment sorbents 1,2.

Agriculture plays a crucial role in developing countries like Vietnam, India, Indonesia, and Malaysia. Since the worldwide population continues to grow, the demand for food production increases, and subsequently, the capacity for agricultural waste also substantially rises. These residues contribute to various environmental problems as the current solution involves burning them on-site or landfilling them, leading to greenhouse gas production of methane, carbon monoxide and dioxide (COx), and polycyclic aromatic hydrocarbons, to name a few³. These byproducts must be recycled and processed into renewable high-performance materials not only to minimize the emission of environmental pollutants but 40 also to increase the value of agriculture. Nowadays, coconut palm is planted in more than 90 countries with a cultivation area of up to 12.25 million hectares 43 and consumption of fresh water, coconut oil, coconut 44 milk-based foods, nuts, soap, cosmetics, and margarine⁴. In Vietnam, coconut ranks fourth among

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47 perennial industrial crops, following rubber, pepper, 48 and cashew.

Coconut pith (CP) is a valuable by-product derived from post-harvest coconut processing. It is obtained during the separation of coconut fibers from coconut shells and constitutes approx. 70% of the weight of the shells. CP is presently utilized to nourish plants and enrich soil, making it a popular choice in modern farming, landscaping, and greenhouse cultivation. The major composition of lignin (35-50%), hemicellulose (2-6%), and cellulose (24-30%) in CP varies among different species and cultivated regions⁵. The presence of hydroxyl, carboxyl, ether, phosphate, and amino groups within its constituents 6 makes CP a potential source of raw materials to recover value-added products, synthesize nanocellulose, and be functionalized for a variety of applications.

A few previous studies reported the ability to fabricate nanocellulose from CP. The common method used in nanocellulose fabrication from CP is acid hydrolvsis to dissolve the amorphous regions of the cellu-68 lose chains and retain the acid-resistant crystalline re-69 gions. Subha et al. utilized a mixture of HCl and 70 H₂SO₄ at a solid-to-liquid ratio of 1:40 g/mL for 8 h to synthesize and purify nanocellulose from CP. The resulting nanocellulose exhibited the total crystallinity index of cellulose I and cellulose II up to 83.7% and an average size of 144.22 nm based on scanning electron microscopy⁷. Later, Kumar et al. synthesized cellulose nanocrystals from CP by applying absolute H₂SO₄ hydrolysis on pretreated CP-derived pulp. The crystallinity index of the as-fabricated nanocellulose was around 75.17%. The morphology of the nanocellulose showed its rod-like structure with an average width and length of 12-20 nm and 112-308 nm, respectively⁸.

The typical methods for producing nanocellulose from lignocellulosic biomass involve chemical, mechanical, and enzymatic treatments, either individually or in combination⁹. To the best of our knowledge, there has been no research work in developing a green chemo-mechanical procedure for fabricating nanocellulose from CP without the consumption of harsh ingredients and investigating the influence of synthesis parameters on the production efficiency and characteristics of the nanosystems. In this work, the combination of ball milling and acid hydrolysis at a low concentration of 64.0% has been studied for the first time to synthesize a stable nanosystem from pre-treated pulp of CP. Different aspects, including particle size distribution, zeta potential, crystal and chemical structure, and holocellulose content of the 99 intermediate products as well as final nanocellulose

are comprehensively evaluated by advanced analysis 100 methods.

MATERIALS AND METHOD

Materials

Coconut pith (CP) was collected from Ben Tre 104 province, Vietnam. Sodium hydroxide (NaOH, 98%), 105 hydrogen peroxide (H2O2, 30%), and sulfuric acid 106 (H₂SO₄, 98%) were purchased from Xilong, China. 107 A dialysis membrane with a molecular weight cut- 108 off (MWCO) of 14 kDa was used to dialyze acidic 109 nanocellulose suspension. All solutions were prepared in distilled water (DW).

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Cellulose recovery from CP

Raw coconut pith (RCP) was rinsed with DW, dried 113 under sunlight, and ground into powder by a blender 114 in 5 min. Cellulose recovery from CP was con- 115 ducted via a two-step procedure of alkali treatment 116 and bleaching. For the first period, CP was immersed 117 in 4.0% NaOH at 80 °C with a solid-to-liquid ratio 118 (SLR) of 1:20 g/mL and the mixture was continuously 119 stirred for 2 h. After that, the mixture was separated 120 by using a filter cloth to obtain the alkali-treated co- 121 conut pith (ACP). The second stage was conducted by 122 using a mixture of 10.0% H₂O₂ and 1.0% NaOH. The 123 treatment condition was SLR of 1:30 g/mL, tempera- 124 ture of 80 °C, and time of 1 h. The mixture was then 125 separated by filter cloth, washed with DW until neutral pH, and dried to obtain the bleached coconut pith 127 (BCP).

Nanocellulose synthesis from BCP

Nanocellulose synthesis from BCP was conducted by 130 chemo-mechanical procedure of ball milling and acid 131 hydrolysis. Firstly, an aqueous suspension of BCP was 132 ball-milled at different points of time from 4 to 8 h 133 to mechanically reduce the cellulose length. The suspension was then hydrolyzed with 64.0% H₂SO₄ at 50 135 °C. The SLR and hydrolysis time were investigated in 136 the range of 1:10-1:50 g/mL and 30-90 min, respectively. After the appropriate time, the suspension was 138 diluted with DW to stop the hydrolysis. Finally, the 139 suspension was centrifuged and dialyzed with a dialysis membrane to remove excessive acid and yield neutral nanocellulose. Table 1 tabulates the experimen- 142 tal design for studying the effects of synthesis conditions on the characteristics of CP-based nanocellulose. The images of raw CP, intermediate products 145 and nanocellulose obtained are illustrated in Figure 1. 146

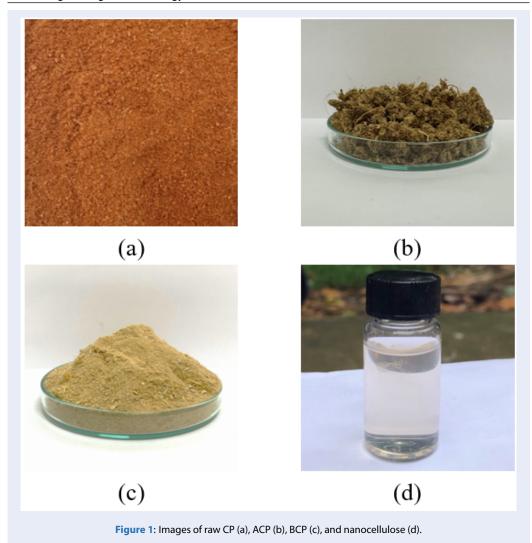


Table 1: Experimental design for nanocellulose synthesis by ball milling-acid hydrolysis.

Ball-milling time (h)	SLR (g/mL)	Hydrolysis time (min)
4; 5; 6; 7; 8	1:30	60
6	1:10; 1:20; 1:30; 1:40; 1:50	60
6	1:30	30; 45; 60; 75;90

147 Characterization of cellulose and nanocel-148 Iulose derived from CP

The holocellulose content of samples was determined by following the National Renewable Energy Laboratory tory procedure (NREL/TP-510-426) as described in our previous work ¹⁰. The chemical structure of CP-derived specimens was analyzed by Fourier Transform Infrared spectroscopy (FTIR, Bruker Alpha II) over the wavenumber range of 4000-500 cm⁻¹ at a resolution of 4 cm⁻¹. Particle size distribution and

zeta potential of nanocellulose synthesized under different conditions were obtained by utilizing Dynamic 158 Light Scattering (DLS, Zetasizer Nano ZS90). The 159 crystallinity profile of RCP, BCP, ACP, and nanocellulose obtained from coconut pith was collected by Xray Diffraction analysis (XRD, D8 Advance Bruker) 162 with Cu-Ka radiation ($\lambda = 1.5418$ Å), scanning range 163 2q of 5-80° and a scan rate of 0.02° . The crystallinity 164 index (CrI) of specimens was also calculated by Eq. 165 $_{166}$ (1), as suggested in the previous work 10 :

$$Crl = \frac{I_{002} - I_{am}}{I_{002}} \times 100\% \tag{1}$$

where I_{002} and I_{am} are, respectively, the intensity of less 002 lattice diffraction and at 2θ of 18° .

169 RESULTS AND DISCUSSION

170 CP purification

171 To demonstrate the efficiency of cellulose recovery, the chemical composition of raw coconut pith, alkali173 treated, and bleached cellulose pulp is analyzed. In particular, the holocellulose content of RCP, ACP, 175 and BCP is $49.07 \pm 0.77\%$, $65.42 \pm 0.80\%$, and 176 $76.31 \pm 1.53\%$, respectively. After two-stage chemical pretreatment with NaOH solution and a mix178 ture of NaOH:H2O2, the holocellulose content of CP pulp significantly increases, indicating that the un180 desired non-cellulosic components like hemicellulose and lignin are effectively eliminated. However, the 181 solid recovery yield is as low as 43.87% due to the mass 183 loss at filtration and rinsing to collect the cellulose184 rich pulp.

S Nanocellulose synthesis from BCP

After ball milling and acid hydrolysis, the synthesized nanocellulose samples in suspension were measured for their particle size distribution and zeta potential by DLS assay. According to Figure 2, the particle size distribution of CP nanocellulose is greatly influenced by the ball-milling time, but no significant variation is observed in its zeta potential, which ranges from -16.5 to -21.4 mV. Ball-milling time of 4 h and 6 h at the same hydrolysis condition results in nanocellulose having consistent particle size of below 600 nm, in which the respective cumulative intensity for particle size of below 300 nm is 44.37% and 40.93%. Besides, cellulose particles obtained at ball milling periods of 5 h, 7 h, and 8 h are distributed into three particle size regions: below 300 nm, 300-600 nm, and 600-900 nm, especially the intensity of particles having a size of below 300 nm is only about 5.40 to 8.61%. Sofla et al. proposed that there was a possibility of fibrous cellulose layer forming around the grinding balls during milling. As a consequence, the impact power of the balls on the particles decreased, leading to an increase in particle size 11. Therefore, the cumulative intensity for particle size of below 300 nm at 7 and 8 ball-milling time is significantly low, whereas that for 600-900 nm size region is up to 23.55 40.60%. Moreover, the particle size distribution of 212 all nanocellulose particles prepared at different ball-213 milling conditions shows two separate peaks of below

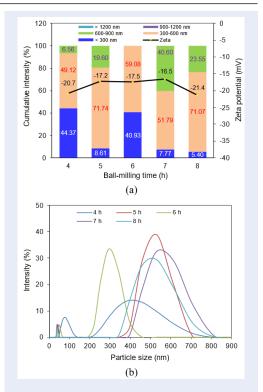


Figure 2: Cumulative intensity, zeta potential (a), and particle size distribution (b) CP-derived nanocellulose with increasing ball-milling time. The SLR and hydrolysis time are respectively fixed at 1:30 g/mL and 60 min.

100 nm and greater than 200 nm, suggesting the di- 214 ameter and length of CP nanocellulose in that order. Figure 3 shows that the SLR between BCP and H₂SO₄ 216 has a strong influence on the formation of nanocellu- 217 lose particles. Only SLRs of 1:30, 1:40, and 1:50 g/mL 218 yield a particle size of below 300 nm. Unexpectedly, 219 the synthesized cellulose nanoparticles at the SLR of 220 1:40 and 1:50 g/mL tend to aggregate into larger ones 221 with size of over 300 nm. This phenomenon can be 222 attributed to hydrogen bonds on the cellulose surface, 223 which cause the nanoparticles to aggregate and sub- 224 sequently impact their size 12. The results show that 225 an SLR of 1:50 g/mL results in a diverse distribution 226 of size regions below 300 nm, 600-900 nm, 900-1200 227 nm, and above 1200 nm along with the lowest zeta po- 228 tential of -12.6 mV. Because of the highest cumulative 229 intensity at the particle size of below 300 nm (69.04%) 230 and the second lowest zeta potential of -17.5 mV, the 231 SLR of 1:30 g/mL is chosen as an appropriate condi- 232 tion for nanocellulose synthesis from CP.

Hydrolysis time is also a significant parameter affecting the production of nanocellulose in terms of particle size and zeta potential. As seen in Figure 4, the

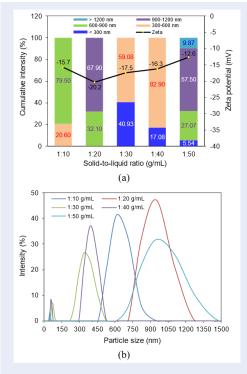


Figure 3: Cumulative intensity, zeta potential (a), and particle size distribution (b) CP-derived nanocellulose with varied SLR. The ball-milling and hydrolysis time is respectively fixed at 6 h and 60 min.

short hydrolysis duration of 30-45 min inefficiently yields nanocellulose suspension based on the cumulative intensity for size above 900 nm up to 89%. Previous studies on other categories of lignocellulosic biomass reported that the appropriate hydrolysis time was about 45-55 min at H₂SO₄ concentration above 60% and SLR of 1:20 g/mL12. Indeed, when the hydrolysis time is longer from 60 to 90 min, more nanocellulose particles below 900 nm are produced. In particular, the hydrolysis duration of 60 min only creates nanocellulose particles with a size below 600 nm, it is chosen as the hydrolysis time to fabricate nanocellulose from CP in this study. Similar to the phenomenon witnessed in the experiment of investigating the influence of SLR on the characteristics of nanocellulose 12, aggregation occurs at the hydrolysis time over 75 min and results in the high cumulative intensity for size above 900 nm (77.50 - 84.96%) even though nanocellulose particles with their size below 600 nm are obtained. Figure 4b clearly shows the excellent nanocellulose synthesis efficiency at an acid hydrolysis time of 60 min because no intensity peak is found at the particle size over 500 260 nm.

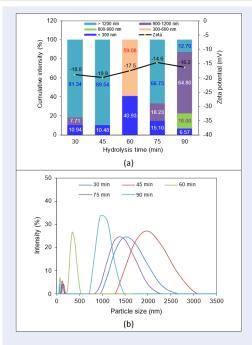


Figure 4: Cumulative intensity, zeta potential (a), and particle size distribution (b) CP-derived nanocellulose with increasing hydrolysis time. The ball-milling time and SLR are kept at 6 h and 1:30 g/mL, respectively.

FTIR and XRD spectra of nanocellulose

The nanocellulose suspension resulted from the hy- 262 drolysis condition of ball-milling time of 1 h, H₂SO₄ 263 64.0%, SLR of 1:30 g/mL, hydrolysis duration of 60 264 min was characterized in terms of chemical structure 265 and crystallinity. According to Figure 5, there are 266 characteristic peaks of cellulose at 3340 cm⁻¹, 2900 267 cm^{-1} , 1030 cm^{-1} , and 897 cm^{-1} in the FTIR spectra ²⁶⁸ of BCP and nanocellulose ¹³. The absence of peaks at 269 1605 cm⁻¹ and 1250 cm⁻¹ in the FTIR spectrum of 270 nanocellulose indicates the effectiveness of eliminat- 271 ing non-cellulosic compounds like hemicellulose and 272 lignin. In particular, the peak at $1605 \, \mathrm{cm}^{-1}$ might be $_{273}$ assigned to C-O bonds in hemicellulose or aromatic 274 ring vibrations in lignin 14 . The peak at 1250 cm $^{-1}$ 275 is attributed to the Syringyl ring and C-O stretching 276 of lignin and xylan 15. The high intensity of signals at 277 1030 cm⁻¹ and 897 cm⁻¹ demonstrates the evident 278 presence of β -(1,4)-glycosidic linkages in the cellulose chains 13 only when non-cellulosic components 280 are eliminated.

Finally, the crystallinity profile of RCP, ACP, BCP, 282 and nanocellulose is analyzed by using the XRD 283 method. As shown in Figure 6, there are characteristic 284 peaks at 2q of 15.5°, 22°, and 34.5°, respectively, representing the (110), (101), (200), and (004) planes of 286

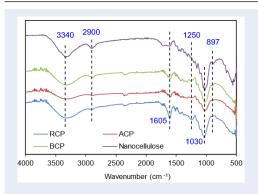


Figure 5: FTIR spectra of raw coconut pith, alkalitreated and bleached CP, and CP-derived nanocellulose.

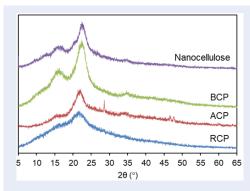


Figure 6: XRD spectra of raw coconut pith, alkalitreated and bleached CP, and CP-derived nanocellulose.

287 cellulose chains. After chemical pretreatment, the intensities at these peaks are witnessed in the BCP spectra. The crystallinity index of RCP, ACP, and BCP is 32.08%, 42.58%, and 60.35% in that order, indicating that the pretreatment removes the amorphous regions in the CP structure. It should be noted that the crystallinity index of BCP in this work is much 294 higher than that of bleached pulp from coconut shells (51.49%) and coconut husks (56.73%) 16. Nanocellulose obtained from the chemo-mechanical procedure developed in this study exhibits its significantly increased crystallinity index of 72.08% which is comparable to that of nanocellulose crystals from coconut 300 husk (79.3%) 17, but higher than that of nanocellu-301 lose fibrils from coconut shells (57.61%) and coconut 302 husk (49.20%) [16]. Therefore, CP-derived nanocel-303 lulose offers a wide range of applications such as excellent oxygen barriers in food packaging, electronic devices, inks for 3D printing, oilfield servicing fluids, ³⁰⁶ and strengthening additives in composites ⁹.

CONCLUSIONS

In summary, cellulose nanoparticles are successfully 308 fabricated from coconut pith using the novel two- 309 stage chemo-mechanical procedure of ball milling 310 and acid hydrolysis. The bleached coconut pith 311 should be ball-milled in 6 h and then hydrolyzed by 312 H₂SO₄ 64% at SLR of 1:30 g/mL within 60 min to yield 313 a stable suspension of nanocellulose having 100% par- 314 ticle size of below 600 nm and zeta potential of -17.5 315 mV. The analysis demonstrates that the holocellulose 316 content and crystallinity index of bleached pulp are 317 correspondingly up to 76.31 \pm 1.53% and 60.35%. 318 By utilizing a developed chemo-mechanical process, 319 the crystallinity index of CP-derived nanocellulose remarkably increases to 72.08%. Further studies should 321 investigate the feasibility of scaling up this effective 322 chemo-mechanical process to implement it in the 323 industrial manufacturing of nanocellulose from co- 324 conut residues.

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ABBREVIATION

XRD: X-ray diffraction

ACP: Alkali-treated coconut pith BCP: Bleached coconut pith CP: Coconut pith DLS: Dynamic light scattering DW: Distilled water FTIR: Fourier transform spectroscopy NC: Nanocellulose RCP: Raw coconut pith SLR: Solid-to-liquid ratio

COMPETING OF INTERESTS

The authors declare that they have no competing interests.

AUTHORS' CONTRIBUTIONS

Nga H.N. Do: Investigation, Characterization, Writ- 347 ing - original draft. Chi T.X. Nguyen: Methodol- 348 ogy, Formal analysis. Huy T.A. Nguyen: Data analy- 349 sis. Phong T. Mai, Thang V. Le: Resources, Writing- 350 review & editing. Phung K. Le: Conceptualization, 351 Funding acquisition, Project administration, Writing 352 - review & editing.

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Phương pháp kết hợp cơ-hóa học mới trong tổng hợp nanocellulose từ mụn dừa

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TÓM TẮT

Nanocellulose đã nhân được sư chú ý rất lớn do các đặc tính độc đáo của nó như đô bền cao, tính linh hoạt, khối lượng riêng thấp, khả năng tương thích sinh học và tính ưa nước tự nhiên với nhiều nhóm hydroxyl có thể dễ dàng biến đổi cho các mục đích khác nhau. Sinh khối ligno-cellulose, đặc biệt là phụ phẩm dừa như vỏ dừa, xơ dừa, mảnh dừa, v.v. đã được tận dụng hiệu quả để sản xuất cellulose với nhiều kích cỡ và hình thái khác nhau như cellulose vi tinh thể, nanocellulose dang tinh thể và dạng sợi. Trong nghiên cứu này, quá trình sản xuất nanocellulose từ mụn dừa (CP) được thực hiện theo phương pháp hóa-cơ học mới bằng cách thực hiện tiền xử lý kiềm hai giai đoạn, nghiền bi, thủy phân axit, ly tâm và thẩm tách. Nanocellulose từ CP được đánh giá về phân bố kích thước hạt, thế zeta, thành phần hóa học, cấu trúc tinh thể và hóa học. Tất cả các thông số về thời gian nghiền bi, tỷ lệ rắn-lỏng và thời gian thủy phân đều ảnh hưởng lớn đến sự hình thành hạt nanocellulose từ CP cũng như độ ổn định của hệ hạt. Dựa trên kết quả thực nghiệm, cường độ tích lũy của các hạt có kích thước dưới 300 nm và trong khoảng 300 – 600 nm lần lượt là 40,93% và 59,08%, cho thấy hiệu quả rõ rệt của phương pháp cơ-hóa học được phát triển trong tổng hợp nanocellulose từ CP giàu cellulose có hàm lượng holocellulose ban đầu cao đạt 49,07%. Đáng chú ý, chỉ số tinh thể của nanocellulose có nguồn gỗc từ CP cao đến 72,08% tương đương với các tinh thể nanocellulose làm từ mảnh dừa. Công trình này chứng minh hiệu quả của việc kết hợp nghiền bi và thủy phân axit trong tổng hợp nanocellulose ổn định từ CP và tạo tiền đề cho việc nghiên cứu sâu hơn để áp dụng kỹ thuật đã xây dựng vào sản xuất công nghiệp.

Từ khoá: Mụn dừa, Nanocellulose, Quá trình cơ-hóa học, Nghiền bi, Thủy phân acid

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